

Client(s)

Client 1. Name

Client 2. Name

Client Agreement

This Client Agreement is our standard contract for the provision of Investment Advice and to the extent required by you of Reception and Transmission of your Orders following the investment advice provided. For your own benefit, you should read all the information setting out the Client Agreement carefully before signing. If you do not understand any point, please do not hesitate to ask for further information.

Chase Buchanan acts as an investment adviser, and in our capacity as a client's adviser we advise on the purchase or sale of investments or other International services. We do not act as the tied agent of any one investment provider nor do we own the investments or policies we advise upon and that are bought by our clients. We advise only on the merits of purchasing, selling or subscribing to investments or exercising any rights conferred by an investment, and arrange and effect for clients as their advisor.

Before undertaking any investment business for you we are required for anti-money laundering purposes to carry out a due diligence process. We are required to collect some personal data from you to confirm your identity and address, as shown above on this agreement, by obtaining some independent documentary evidence such as passport and utility bill, and to hold on file a copy of such documents.

At Chase Buchanan we value your privacy. Our Data Protection and Privacy Policies are in accordance with data protection laws (GDPR) so it's easy for you to understand what information we collect, why we collect it and what we do with it. Full copies can be found on our website: www.chasebuchanan.com along with each of the following policies which constitute the entire agreement between us. Each of these policies shall be incorporated into, and form part of, this Agreement as if each had been set out in full herein. The policies are: Privacy, Data Protection, Terms and Conditions, Company Information, the Summary of Conflicts of Interest Policy, the Summary of Best Interest Policy, the Risk Disclosure and Warnings Notice, the Client Categorisation Policy, the Investor Compensation Fund Notice, the Complaints Procedure for Clients and our Fees and Charges Schedule.

We are pleased to offer advice on the following terms of business:

1. When we have arranged an investment for you, we will undertake regular monitoring of the performance of that investment for you at our discretion and will be pleased to advise you at any specific time we agree together.
2. If we need specific written authority for any course of action we will normally ask you to give instructions in writing to avoid any possible disputes, although we may at our discretion accept verbally communicated instructions, provided that you subsequently agree to confirm them in writing. Client instructions received by Chase Buchanan will be passed onto the relevant financial institution, which has the responsibility for their execution. The language of communication is English and all communications between us must be in English. We are authorised to rely on, may act on and treat as fully authorised by the client, any instruction or communication which purports to have been given by the client or on behalf of the client by any party notified by the client from time to time to Chase Buchanan as being so authorised. The client agrees to indemnify Chase Buchanan against all liabilities, actions, proceedings, claims, losses and expenses arising out of or in connection with the acceptance of instructions by Chase Buchanan and upon which Chase Buchanan is authorised to rely.
3. A client may terminate at any time the authority given to us to advise on his/her behalf, without penalty, by giving notice to us in writing to that effect. Likewise, we may similarly terminate the arrangement between us. Termination will be without prejudice to the completion of transactions already initiated and a client will be required to pay for any transaction effected before termination together with a due proportion of any agreed payment for services provided by us.
4. We may receive commissions, finders' and trail fees, and share of fees from banks, fund managers, and other providers of investment services as a result of arranging transactions with them. Such commissions, finders' and trail fees, and share of fees will generally be in the range of 10% to 50% of the total management fees (including brokerage fees, custodian fees etc.) levied by banks, fund managers, and other providers of investment products. We will provide you with additional information on commissions, finders' and trail fees upon request. You agree that we retain the commission, finders' and trail fees, or share of fees, as part of our remuneration where non-independent advice is provided. We do not charge for advice and other services in respect of such investments, unless specifically agreed in writing with a client. We reserve the right to act as agent for third parties and to share with them any such commissions or fees as are received by us.
5. We retain the right to charge fees to our clients for advice and other services rendered in respect of investment matters, when specifically agreed in writing.

Chase Buchanan Ltd is authorised and regulated by the Cyprus Securities and Exchange Commission with Licence No: 287/15.

January 2021

6. Chase Buchanan does not provide legal or tax advice and we strongly recommend the client obtains professional legal and/or tax advice where appropriate in relation to their International affairs and the suitability of the transaction considered or embarked upon. We may from time to time make introductions to the providers of such services, on the understanding that such introductions are made without any liability by Chase Buchanan.
7. Chase Buchanan does not handle client money, and mandates are usually made directly by the client to the third party financial institution holding the assets. Fees or other charges incurred for arranging telegraphic transfers, currency conversion, or other banking transactions will be borne by the client unless otherwise agreed in writing.
8. All investments will be registered in the name of the client, (or his/her nominee(s) if we are so instructed in writing) and policies, certificates or other documents of title will be sent to the client, (or to his nominee(s) as the case may be). If you instruct us to register investments in the name of, or jointly with, any third party we shall accept no liability for their default.
9. We keep records of business transactions for at least five years from the time the client ceases to be a client. A client (or his agent) may inspect all our records relating to his/her business with us, including contract notes, vouchers and entries in our books or electronic recording media. We treat all clients' records as confidential, so we reserve the right to provide copies of a client's records rather than allow access to files containing records of other clients. In pursuance of our confidentiality obligations, we will not disclose any information about your affairs to anyone, whether purporting to act on your behalf or otherwise, without your express knowledge and/or consent.
10. Investments purchased by clients of Chase Buchanan may have the benefit of investor protection legislation in the countries from which they are issued. In some cases, insurance or investment companies themselves may give "cancellation rights" to investors who change their mind within a limited period (usually about 30 days) of making an investment. Chase Buchanan cannot accept any responsibility for the failure of investments, or of product providers themselves, but local "investor compensation schemes" may be available in the countries concerned, which will generally extend to expatriate investors. Chase Buchanan will inform you on request of the availability of cancellation rights and/or investor compensation schemes, in relation to specific insurance or investment contracts recommended to you.
11. Your notice is drawn to the fact that the value of investments can and does fluctuate and any individual investment may experience downward or upward movements. There is an inherent risk that losses may be incurred rather than profit made as a result of buying or selling investments, particularly with regards to certain Alternative Investment type funds, such as Hedge Funds. The latter are suitable for sophisticated investors as part of a balanced portfolio, and such investors must have the capacity to withstand the risks involved and potentially bear the risk of the loss of their entire investment.
12. Both Chase Buchanan and you as our client undertake to notify each other in the event of any material change in the information provided in this agreement.
13. If we do not meet face to face to conclude this Agreement, but instead our communication is conducted electronically, over the telephone, or by written correspondence then the Distance Marketing of Financial Services Law N. 242(I)/2004, as amended, applies and we shall send you by email or post the relevant documents which form the Agreement. You have the right to ask for the Agreement to be sent to you by post.
14. We may use credit reference and fraud prevention agencies to help us make a business decision about providing you with investment services. By confirming your agreement to proceed you are accepting that we may each use your information this way.
15. If you should have any complaint about the advice or service you receive or a product in which you have invested you should email the customer relations department at customer.relations@chasebuchanan.com
16. Please return the signed Agreement and all relevant forms/papers to: Chase Buchanan, UK Admin Centre, 2 St Georges Yard, Castle Street, Farnham, Surrey GU9 7LW, UK. For fast processing purposes you may first print, sign and send it back to us via email to: info@chasebuchanan.com. After this you will need to post the original to us.
17. For the purposes of direct marketing, you agree that we may use the information about you to contact you from time to time via email to bring to your attention additional products or services which may be of benefit to you. **Yes or No (please tick as applicable)**. Note where you tick 'No' we shall not contact you for marketing purposes but we still have the obligation to contact you for the purpose of providing services to you as agreed by the signing this agreement.

I have read, understood and agree to the terms of this agreement:

Signed
Client 1

Date

Signed
Client 2

Date

Chase Buchanan Ltd is authorised and regulated by the Cyprus Securities and Exchange Commission with Licence No: 287/15.

January 2021