

## 1. The Company

- 1.1. Chase Buchanan Ltd and Chase Buchanan Insurance Services, Agents & Advisors Ltd, are companies registered in Cyprus under numbers HE343180 and HE370271 respectively.
  - 1.2. Chase Buchanan Ltd is authorised and regulated by the Cyprus Securities and Exchange Commission ("CySEC") as a Cyprus Investment Firm ("CIF") to offer the services and activities enlisted herein in this document, under the Provision of Investment Services, the Exercise of Investment Activities, the Operation of Regulated Markets and Other Related Matters Law 144(I)/2007, as subsequently amended from time to time ("the Law") in the EEA on a cross border basis as per the provisions of MIFID.
  - 1.3. Chase Buchanan Insurance Services, Agents & Advisors Ltd is authorised and regulated by the Cyprus Insurance Companies Control Service ("ICCS") as an Insurance Mediation Company to offer services in the EEA on a cross border basis as per the provisions of IDD.
  - 1.4. The Company's CIF Licence number is 287/15.
  - 1.5. The Company's Insurance Licence numbers are: 492,3906 and 507.
  - 1.6. The Company's Registered office is at Office 1, 6th Floor, Panagides Court, Chrysanthou Milona 1, Limassol 3030, Cyprus.
- (d) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to securities, currencies, interest rates or yields, or other derivatives instruments, financial indices or financial measures which may be settled physically or in cash.
  - (e) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to commodities that must be settled in cash or may be settled in cash at the option of one of the parties (otherwise than by reason of a default or other termination event).
  - (f) Options, futures, swaps, and any other derivative contract relating to commodities that can be physically settled provided that they are traded on a regulated market and/or a MTF.
  - (g) Options, futures, swaps, forwards and any other derivative contracts relating to commodities, that can be physically settled not otherwise mentioned in the paragraph above and not being for commercial purposes, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are cleared and settled through recognised clearing houses or are subject to regular margin calls.
  - (h) Derivative instruments for the transfer of credit risk.
  - (i) Financial contracts for differences.
  - (j) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to climatic variables, freight rates, emission allowances or inflation rates or other official economic statistics that must be settled in cash or may be settled in cash at the option of one of the parties (otherwise than by reason of a default or other termination event), as well as any other derivative contract relating to assets, rights, obligations, indices and measures not otherwise mentioned in this Part, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are traded on a regulated market or an MTF, are cleared and settled through recognised clearing houses or are subject to regular margin calls.

## 2. Investment Services

- 2.1. Under its CIF Licence the Company may offer the following Investment Services:
  - (a) Reception and transmission of orders in relation to one or more Financial Instruments;
  - (b) Investment Advice.

## 3. Financial Instruments

- (a) Transferable Securities.
- (b) Money-market instruments.
- (c) Units in collective investment undertakings.